



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/480,828 01/01/00 TAYLOR

C 249/075

022249 0212/0218  
LYON & LYON LLP  
SUITE 4700  
633 WEST FIFTH STREET  
LOS ANGELES CA 90071-2066

3731

DATE MAILED:

02/18/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 476.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 156 for 2 total claims over 20.

\$ 156 for 2 independent claims over 3.

\$          for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to:                     

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent/Examination Division (703) 308-1202

130.00 DP  
156.00 DP  
690.00 DP

03 FC:105  
02 FC:102  
01 FC:101


05/24/2000 EHAMOND 0000117 09480828

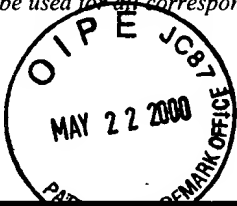
U.S. GPO 1999 450-5875

PTO-1533 (REV. 9/98)

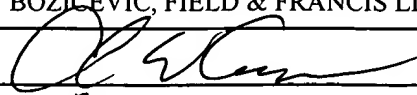
**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

Section #3

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231			
Typed or Printed Name	Martha Cisneros		
Signature		Date	May 18, 2000

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i> 	Attorney Docket	GUID-006CON6
	First Named Inventor	Charles S. Taylor
	Application Number	09/480,828
	Filing Date	January 10, 2000
	Group Art Unit	3731
	Examiner Name	Unassigned
	Title	Access Platform for Internal...

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Issue Fee Transmittal <i>(with copy of PTOL-85B)</i>	<input type="checkbox"/> Request for Refund
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip and Accompanying Petition	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input checked="" type="checkbox"/> Revocation	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i> <u>Return Postcard</u>
<input type="checkbox"/> Information Disclosure Statement, 1449 and references	<input type="checkbox"/> Statement Under 37 C.F.R. 3.73(b)	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Small Entity Statement	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Individual Name	Alan W. Cannon	Registration No.	34,977
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
Signature			
Date	May 18, 2000		

# FEE TRANSMITTAL

Note: Effective October 1, 1998.  
Patent fees are subject to annual revision.

Attorney Docket Number	GUI006CON6
First Named Inventor	Charles S. Taylor, et al.
Application Number	09/480,828
Filing Date	January 10, 2000
Group Art Unit	3731
Examiner Name	Unassigned

## METHOD OF PAYMENT

- ☒ A CHECK IS ENCLOSED
- ☒ The Commissioner is hereby authorized to charge any **additional** fees including fees required under 37 C.F.R. 1.16 and 1.17 and credit any overpayments to:  
Deposit Account No. 50-0815.  
Deposit Account Name: Bozicevic, Field & Francis LLP

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$ 690.00
102	315	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$ 690.00

### 2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims	18	20	0	\$
Ind. claims	5	3	2	\$ 156.00
Multiple Dependent claims			x	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent

Subtotal (2) \$ 156.00

### 3. ADDITIONAL FEES


Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	\$130.
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	\$110.
117	870	217	435	Ext. for reply within third month	
128	1,850	228	925	Ext. for reply within fifth month	
120	300	220	150	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,210	242	605	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	690	246	345	Filing submission after final rejection (37 C.F.R. 1.129(a))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
143	430	243	215	Design issue fee	
581	40	581	40	Recording patent assignment	
				Other fee (specify)	


\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$240.0

TOTAL AMOUNT ENCLOSED (\$ ) 1,086.00

### SUBMITTED BY

Typed or Printed Name	Alan W. Cannon, BOZICEVIC, FIELD & FRANCIS LLP	Reg. Number	34,977
Signature		Date	May 18 2000
		Deposit Account	50-0815

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Martha Cisneros		
Signature		Date	May 18, 2000

<b>RESPONSE TO MISSING PARTS</b>  Address to: Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	GUID-006CON6
	First Named Inventor	Charles S. Taylor
	Application Number	09/480,828
	Filing Date	January 10, 2000
	Group Art Unit	3731
	Examiner Name	Unassigned
	Title	Access Platform for Internal...

Sir:

In response to the Notice to File Missing Parts dated February 18, 2000, transmitted herewith for filing are the following documents:

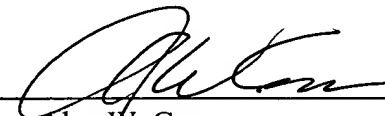
1. a Copy of the Notice to File Missing Parts;
2. a Declaration signed by the inventors;
3. a Revocation of Power of Attorney and Power of Attorney;
4. a Statement Under 37 C.F.R. 3.73(b);
5. a Copy of Notice of Recordation of Assignment Document;
6. a Request of Extension of Time; and
7. a check in the amount of \$1,086.00 (surcharge, \$130; statutory basic filing fee, \$690.00; additional claim fees, \$156.00 and One Month Extension of Time, \$110.) to cover the fees required.



The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: May 8, 2000

By:   
Alan W. Cannon  
Registration No. 34,977

BOZICEVIC, FIELD & FRANCIS LLP  
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Menlo Park, California 95025  
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Facsimile: (650) 327-3231